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ATTY. DOCKET NO.: P66238US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hans-Georg FRANK et al.

Serial No.: 09/750,021

Art Unit: 1632

Filed: December 29, 2000

Examiner: Unassigned

For: METHOD FOR THE IDENTIFICATION OF SUBSTANCE MIMICKING MAMMAL  
EPITOPES

RESPONSE TO NOTICE TO COMPLY WITH SEQUENCE RULES

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with the Notice to Comply with sequence rules 37 CFR 1.821 – 1.825, a copy of which is attached, hereto, applicant submits, herewith:

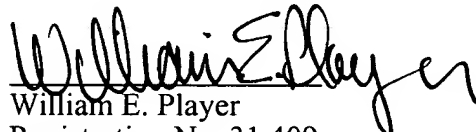
- (1) Computer Readable Form (CRF) of Sequence Listing; and
- (2) Substitute paper copy of Sequence Listing.

The content of the computer readable form and the paper copy are the same and, where applicable, include no new matter, as required by 37 CFR § 1.821(e), § 1.821(f), § 1.821(g), § 1.825(b), or 1.825(d).

Favorable action is requested.

Respectfully submitted,

By

  
William E. Player  
Registration No. 31,409

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400 Seventh Street, N.W.  
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Atty. Docket No.: P66238US0  
WEP/vjb

09750021-050701

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TC  
3-12-01

Chinsky

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Page 1 of 2 #3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,021	12/29/2000	Hans-Georg Frank	P66238US0



JACOBSON, PRICE, HOLMAN & STERN, PLLC  
PROFESSIONAL LIMITED LIABILITY COMPANY  
THE JENIFER BUILDING  
400 SEVENTH STREET, N.W.  
WASHINGTON, DC 20004

CONFIRMATION NO. 6410

FORMALITIES LETTER



\*OC000000005831673\*

Date Mailed: 03/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

JACOBSON, PRICE, HOLMAN & STERN, PLLC.

05/09/2001 MBERHE 00000020 09750021

01 FC:201  
02 FC:205

355.00 OP  
65.00 OP

Response Due On Or Before

5 / 6 / 01  
Month Day Year

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hans-Georg FRANK

Serial No.: 09/750,021

Filing Date: December 29, 2000

For: METHOD FOR THE IDENTIFICATION OF SUBSTANCE  
MIMICKING MAMMAL EPITOPES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(b)

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Attention: APPLICATION BRANCH  
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), mailed March 6, 2000, copy attached.

- ☐ Preliminary Amendment.
- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☐ Declaration in compliance with 37 C.F.R. §1.63, attached to copy of application as originally filed.
- ☒ Small Entity Declaration under 37 C.F.R. §§1.9 and 1.27.
- ☒ Notice to comply with Sequence Rule.
- ☒ Assignment Document and cover letter, with \$40.00 fee for recordation of Assignment.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.



Appln. No. 09/750,021

X Filing Fee, calculated as follows:

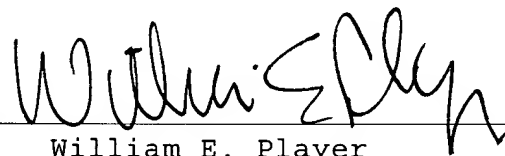
	<u>Small Entity</u>	<u>Large Entity</u>	
Basic Filing Fee	\$355.00	\$710.00	355.00
Total Claims <u>19</u> - 20 = 0	(x \$9) =	(x \$18) =	.00
Indep. Claims <u>2</u> - 3 = 0	(x \$40) =	(x \$80) =	.00
<u>X</u> Surcharge: Late Filing	+ \$65	+ \$130	65.00
<u>    </u> Extension Fee under 37 CFR §1.17			.00
			<hr/>
			TOTAL FILING FEES \$ 420.00

A check in the amount of \$460.00, is enclosed to cover the filing fee, late filing surcharge and fee for recordation of Assignment. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By



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(202) 638-6666

Atty. Docket No.: P66238US0  
Date:  
WEP/cmf